

THE GEORGE WASHINGTON UNIVERSITY
Washington, DC

MINUTES OF THE REGULAR MEETING
OF THE FACULTY SENATE HELD ON
DECEMBER 9, 1994, IN LISNER HALL
ROOM 603

The meeting was called to order by Vice President French at 2:18 p.m.

Present: Vice President French, Registrar Gaglione, Parliamentarian Keller, Boswell, Brewer, Castleberry, Eftis, Elgart, Fowler, Frieder, Griffith, Harrington, Johnston, Kahn, Kind, Kirsch, Miller, Mitchell, Pelzman, Robinson, Salamon, Seavey, K. Smith, Thompson, Tropea, Vontress, and Wirtz.

Absent: President Trachtenberg, Englander, Friedenthal, Gupta, Keimowitz, Millar, P. Smith, and Solomon

APPROVAL OF THE MINUTES

The minutes of the regular meeting of November 11, 1994, were approved as distributed.

OLD BUSINESS

REINTRODUCTION AND FURTHER CONSIDERATION OF RESOLUTION 94/4,
"A RESOLUTION ON THE STRATEGIC PLAN FOR RESEARCH" POSTPONED TO
THE DECEMBER 9, 1994, SENATE MEETING

On behalf of the Committee on Research, Professor Kind, Chair, moved the introduction of a substitute resolution which included some modifications approved by the Research Committee. The motion was seconded. Professor Kind distributed copies of the substitute resolution, explaining that the words "wholeheartedly" and "complete and speedy" were deleted in the RESOLVING Clause. No objections were made to consideration of the substitute resolution, and the Chair ruled that the substitute was accepted for consideration in place of the original Resolution 94/4. Professor Kind reiterated that this plan was developed during the last academic year by both a Task Force, chaired by Associate Vice President Lehman, and the Research Committee of the Senate. The latter reviewed the Strategic Plan in great detail at the end of the last year and actually reordered some of the priorities

recommended by the faculty. When this year's Research Committee reviewed the plan, the Committee was enthusiastically in favor of it because the plan basically sets priorities for how the University would try to encourage research by the faculty at this institution. Professor Kind urged the adoption of Substitute Resolution 94/4, and the motion was seconded.

Professor Kahn said that he was grateful to Professor Kind and the Senate for giving him additional time to study the Strategic Plan. He found many good things in it and no one could disagree that the amount of money for research should be increased. On the other hand, he said, that when he discussed this matter with members of his department and others, he could not find anyone who would rank development of a University patent policy as his or her first research priority. The second priority in the Plan, he noted, was a review of Centers and Institutes. Professor Kahn said that we know that these Centers and Institutes are already reviewed every two to four years without any faculty sanction. Such a review ought to be made by faculty across the University and this matter should be considered separately by the Senate rather than endorsed as part of a larger report. He pointed out if the Senate endorses the Strategic Plan and urges its implementation by the University, that that would place the Senate in the somewhat awkward position of urging the administration to implement its own report, acting in accordance with the conclusions of the administrative committee. Vice President French responded that the Strategic Plan is an advisory statement to the administration; it is not the administration's document. For two years, he said, there has been overlapping membership between the Faculty Senate Research Committee and the Advisory Committee to the Vice President for Academic Affairs, so that the Senate's Research Committee has had full participation in the discussion of the Plan. He noted that the Council of Deans also reviewed the Plan, as well as the Board of Trustees. He said that the Strategic Plan was not an administration-produced document.

Professor Kind pointed out that the patent policy was one of the first priorities because there was really no choice. The patent policy had to be clarified in order to obtain funds from federal organizations; otherwise faculty members would be inhibited from making applications to those institutions. Professor Kind said that she disagreed with Professor Kahn that this Plan is an administration effort. The faculty members, both on the Task Force and on the Senate's Research Committee, were very much involved in developing this document. While one can always argue with the priorities, or wish to change certain words, Professor Kind said that the Research Committee thought it was far more important that the philosophy and fundamental idea of the document itself be made, i.e., that this is a complete and whole University with a research effort, as well as a teaching effort.

There being no objections, the Chair gave the floor to Professor Barry L. Berman, past Chair of the Senate Research Committee.

Professor Berman noted that in 1991 the Senate Research Committee produced a report entitled "Research at GW: Constraints and Incentives." Several of the conclusions in this report were incorporated into the Advisory Council's recommendation. At a joint meeting of both the Advisory Council and the Research Committee, the latter was instrumental in actually reordering some of the priorities and, in particular, in elevating the University Facilitating Fund to the top priority for 1995-96. Professor Berman said that he did not think that the Senate should look at the Strategic Plan as an administrative fiat, but rather as a document which seeks to implement certain goals, most of which have strong budgetary implications. The University has only a certain number of unrestricted dollars to spend on various good and worthy causes, but the fact of the matter is that most faculty are actively engaged in scholarly research, and they want to make sure that the University administration recognizes this very large constituency and tries to make this University a much more user friendly place to do research. Professor Berman pointed out that there are only a couple of items in the Plan that are specifically geared towards sponsored research people. There are tuition awards linked to graduate student stipends and equipment cost-sharing funds. The other items are primarily for the benefit of other faculty who do not have sponsored research grants. Professor Berman said that the Committee viewed the Strategic Plan as being even-handed across all of the departments and divisions of the University and that it would help jump-start the research enterprise at GW, and he urged the Senate to support it.

Professor Pelzman said that he would like to agree with everything that Professor Berman just said, but he could not because he participated in other committees that dealt with the difficulties of doing research on this campus. One problem is the research enhancement funds which, in effect, give back to the department some money that a faculty member in that department has generated for the school. If the faculty member had not done the research, the money would not be there in the first place. What is missing, he said, is some kind of inducement for doing research. The second problem, he said, is the lack of computer facilities and lack of space for students hired under research contracts. These are examples of problems that have existed since 1989 and they have not been resolved by this document. Professor Pelzman said that this plan is not pro-research active; it is a plan for funded research only.

Professor Kahn said that this plan was "Adopted by the University Advisory Council on Research 15 April 1994," as stated on the title page. On p.7 of the document we read, "The Advisory Council is appointed by the Vice President for Academic Affairs." Professor Kahn said that he wished to be positive and recognize the positive aspects of this document and the progress that it does promise, but he did not

believe the priorities of the Plan really reflected the faculty view. He, therefore, moved to amend the RESOLVING Clause of the Resolution to read as follows:

That the Faculty Senate ~~endorses wholeheartedly~~ is very pleased to receive this Strategic Plan for Research ~~and urges its complete and speedy implementation by the University Administration across all of the Schools and Departments of the University.~~ of the University Advisory Council on Research, chaired by the Associate Vice President for Research and Graduate Studies and commends this effort by the University Administration to enhance the environment for research at the University.

The motion was seconded.

Professor Boswell spoke in favor of the amendment because he did not view this document as one the Senate would produce itself. He said the Senate did not need to endorse the four broad actions contained in this Plan which were essentially administrative steps. He said that the Senate should simply receive this document as an expression of support for research. Professor Griffith also supported the amendment, stating that he thought the appropriate action for the Senate was to receive it and commend it for study. He said that the Senate is always made uneasy when a report recommends the re-direction and reallocation of funds, as this plan does, outside the context of the overall budget without having the information before it as to exactly what the impact of that change will be.

Professor Eftis spoke against the amendment because he thought this document provided a structure, for the first time, whereby faculty could address the problems related to research. He said that this document should be given a chance even though it may contain some limitations because, at least, it was a beginning. Professor Kind also spoke against the amendment. She emphasized that this Plan was not an independent effort by the administration, but by the faculty working with the administration. She said it was very important for the Senate to say that this plan sets forth these things that the Administration can do to support research at the University. Professor Kind urged defeat of the amendment.

Professor Robinson said that she did not think the criticism she was hearing was of the document itself, but rather of what the appropriate role of the Senate is in this particular instance. She said she agreed with Professor Griffith's point about the costs involved in this Plan. If the Senate does not have the costs, then it cannot pass a resolution that deals with that aspect. At the same time, she said, she was concerned that the faculty might be perceived as not being supportive of this document, which was not true, and she did not think the Senate wished to give that impression.

Professor Griffith moved to amend the RESOLVING Clause by deleting the words "by the University Administration" after the word "effort" so that the sentence would read: ". . . and commends this effort ~~by the University Administration~~ to enhance the environment for research at the University." Professor Kahn accepted the amendment.

Professor Pelzman said that he thought this document would be moving in the right direction if it had a budgetary dollar allocated to it. This Plan does not tell the Senate in just plain numbers how much money there is on the table for enhancing the infrastructure of doing research on this campus. He said he would like to support this document if it is understood that this is the front end of a long-term process that is going to be built upon. Vice President French pointed out that this document is an advisory document, and as such, it cannot commit University funds. Professor Boswell said that he agreed with Professor Robinson that what the Senate is dealing with is the role of the Senate with regard to this particular document and whether the Senate should just receive it or endorse it. He recommended the Senate receive it, but not endorse it. Professor Eftis pointed out that if the Senate just receives this report, it was, in a sense, consigning it to oblivion. He urged endorsing the document which could be built upon in the future.

The question was called on the Kahn amendment, as amended, and the motion failed by a vote of 10-11.

The question was called on the original motion, and Substitute Resolution 94/4 was adopted by a vote of 12-8. (Substitute Resolution 94/4 is attached.)

INTRODUCTION OF RESOLUTIONS

No resolutions were introduced.

GENERAL BUSINESS

I. NOMINATION FOR ELECTION OF FACULTY TO THE JOINT FACULTY ADMINISTRATION TASK FORCE ON RETIREMENT OPPORTUNITIES AND INCENTIVES TO FACULTY

On behalf of the Executive Committee, Professor Robinson moved the following nominations: Professors Murli M. Gupta, Co-Chair (CCGSAS), John G. Boswell (GSEHD), Arthur D. Kirsch (CCGSAS), and Debra R. Sheldon (SBPM). No nominations were made from the floor, and the nominees were elected unanimously.

II. REPORT OF THE EXECUTIVE COMMITTEE

The report of the Executive Committee by Professor Robinson, Chair, is enclosed.

III. INTERIM REPORTS OF SENATE COMMITTEE CHAIRS

An Interim Report was received from the Joint Committee of Faculty and Students distributed by Professor Tropea, Chair. (Interim Report is attached.)

As a follow-up to his Interim Report at the last meeting, Professor Griffith, Chair, Appointment, Salary and Promotion Policies Committee, asked the Senate members if they would bring to the attention of their faculties the proposed Tuition Exchange Program which was discussed at the November Senate meeting. Copies of the proposal were distributed with the November minutes, but some faculty may have missed that information. He said that the ASPP Committee would appreciate hearing from the faculty if they are in favor of this proposal.

Professor Mitchell said that he had some questions about the program. Given the small number of students who would be participating in the tuition exchange, he wondered if this program was an experimental one. Professor Griffith replied that there was no intention that the exchange be bound at that figure, but since the University has not participated in this program before, it seemed appropriate to start with a small number of students. Professor Mitchell asked if the list of colleges would be expanded, and also if the administration is really interested in going forward with this program or whether this is to be left to the Faculty Senate to carry out. Professor Griffith replied that the ASPP Committee merely reported it to the Senate, and did not ask for its endorsement. He said he understood that the list of participating schools is rapidly growing. He projected that as tuition exchange programs become a benefit available to faculties at more places, and become part of a bargaining tool, that more colleges will find that there is an incentive to participate in this kind of program.

Professor Seavey spoke in support of the Tuition Exchange Program. He said that this kind of benefit would assist the University in recruiting and ultimately retaining young, research-active faculty. Faculty salaries are lower than salaries in other areas of the economy; faculty members value education above other things. He thought that this would be a relatively inexpensive way for the administration to convince the faculty that it cares about faculty needs.

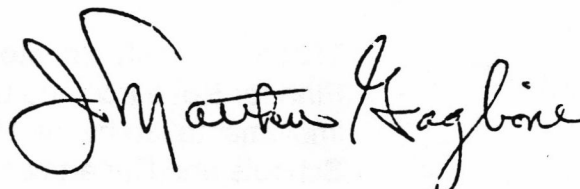
BRIEF STATEMENTS (AND QUESTIONS)

Professor Kirsch noted that he had just received The George Washington University Disclosure Questionnaire that was sent to management employees of the University to communicate their compliance with and adherence to policies regarding conflicts of interest between the employer and the University. He said that, apparently, Senate members were asked to complete this document because they were considered part of management. Professor Kirsch said that he thought this was an "ill-considered" document because he did not have a clue as to what companies GW University "owned in whole or in part, directly or indirectly, by it, including any joint venture." He asked Vice President French if he could exclude the Senate members from completing this questionnaire or clarify the wording. Vice President French replied that he would convey that message to the appropriate office.

Vice President French announced that a new University Librarian, Mr. Jack A. Siggins, has been appointed and will begin his duties on January 1st. He said that Mr. Siggins was a Deputy University Librarian at Yale for many years and is very active in the national community of professional librarians through the Association of Research Libraries.

ADJOURNMENT

Upon motion made and seconded, Vice President French adjourned the meeting at 3:15 p.m.



J. Matthew Gaglione
Secretary

SUBSTITUTE

A RESOLUTION ON THE STRATEGIC PLAN FOR RESEARCH (94/4)

- WHEREAS, The Faculty Senate is convinced that the value and stature of The George Washington University as an educational institution, to its students, to its faculty and to the nation at large, would be enhanced by increasing the level of scholarly research; and
- WHEREAS, The Faculty Senate believes the opportunities, facilities and incentives for the conduct of scholarly research should be increased for faculty in all of the Schools and Departments of the University; and
- WHEREAS, The Faculty Senate, through its Research Committee, has evaluated the constraints on scholarly research and the incentives for the conduct of that research at The George Washington University, and published the results of this study in its 1991 report; and
- WHEREAS, The Faculty Senate believes that the 1994 Strategic Plan for Research recognizes the constraints on the opportunities for the conduct of scholarly research at The George Washington University and proposes a series of measures designed to alleviate many of these barriers and enhance research opportunities;

BE IT RESOLVED BY THE FACULTY SENATE OF THE GEORGE WASHINGTON UNIVERSITY:

That the Faculty Senate endorses ~~wholeheartedly~~ this Strategic Plan for Research and urges its ~~complete and speedy~~ implementation by the University Administration across all of the Schools and Departments of the University.

Research Committee
December 8, 1994

Adopted December 9, 1994

*(See Plan as adopted 12/9/94 in back 2 minutes
Book under "Resolutions.")*



INTERDEPARTMENTAL MEMORANDUM

December 9, 1994

Joint Committee of Faculty and Students Interim Report:

The committee met three times this semester, September 23rd, October 21st and November 18th, over matters largely related to the following:

The Honor Code subcommittee (constituted over two years ago) met six times. Subsequent to distribution of a "Proposed Academic Honor Code for GW," thirty-two information meetings were held on campus for students and faculty in the different schools. A third draft of a proposed honor code is in preparation.

A Stipends-Awards subcommittee met four times to improve the process for granting student leadership awards. This subcommittee recommended that the faculty co-chair of the JCFS constitute a committee of faculty representatives from schools with undergraduate programs to review applications and to select students to receive the seven awards. This recommendation was accepted at the November JCFS meeting. The following faculty agreed to serve:

Carol Hoare	- GSEHD
Lois Graff	- SBPM
Harry Yeide	- CCGSAS
Peter Hill	- ESIA
Richard Soland	- SEAS

The other part of the stipend pool will be under review next semester

A subcommittee was formed to address the problem students face when confronted with three exams on the same day. This "Three-Exams-in-a-Day" subcommittee met two times to formulate a recommendation that will be on the agenda of the January JCFS meeting.

Joseph L. Trovati

REPORT OF THE EXECUTIVE COMMITTEE
DECEMBER 9, 1994
PROFESSOR LILIE F. ROBINSON, CHAIR

I. ELECTION OF FACULTY SENATE MEMBERS FOR THE 1995-96 SESSION

On December 6th, a memorandum from the Executive Committee was sent to the deans regarding the election of members of the Faculty Senate in their respective schools. The Faculty Organization Plan requires that these elections take place prior to March 15th, so that the organization of the Senate for the 1995-96 Session can be accomplished.

II. NONCONCURRENCE PROCESS

I am happy to report that we anticipate that at the January Senate meeting the Professional Ethics and Academic Freedom Committee will have a resolution ready for Senate action to amend the Faculty Code to include an alternative appeals process in the nonconcurrence procedures. This amendment is in accordance with Resolution 94/2 adopted by the Senate at its October meeting.

III. ANNOUNCEMENTS

The next meeting of the Executive Committee is scheduled for Thursday, December 15th, to plan the agenda for the Senate's January 20th meeting.

Best wishes to everyone for the Holiday Season and New Year.

THE GEORGE WASHINGTON UNIVERSITY
Washington, DC

The Faculty Senate

November 28, 1994

The Faculty Senate will meet on Friday, December 9, 1994,
at 2:10 p.m. in Lisner Hall 603.

AGENDA

1. Call to order
2. Approval of the minutes of the regular meeting of November 11, 1994
3. Old Business:
Reintroduction and further consideration of Resolution 94/4, "A RESOLUTION ON THE STRATEGIC PLAN FOR RESEARCH"; Professor Phyllis D. Kind, Chair, Research Committee (Resolution 94/4 attached)
4. Introduction of Resolutions
5. General Business:
 - (a) Nomination for election of the following faculty to the Joint Faculty-Administration Task Force on Retirement Opportunities and Incentives to Faculty: Professors Murli M. Gupta, Co-Chair (CCGSAS), John G. Boswell (GSEHD), Arthur D. Kirsch (CCGSAS), and Debra R. Sheldon (SBPM)
 - (b) Report of the Executive Committee: Professor Lilien F. Robinson, Chair
 - (c) Interim Reports of Senate Committee Chairs
6. Brief Statements (and Questions)
7. Adjournment



J. Matthew Gaglione
Secretary

THE UNITED STATES OF AMERICA
DEPARTMENT OF JUSTICE

Washington, D.C.

TO THE HONORABLE ATTORNEY GENERAL
FROM THE ATTORNEY GENERAL

1954

Dear Sir:

Enclosed for the Bureau are the original and two copies of a letterhead memorandum (LHM) dated and captioned as above.

Very truly yours,

W. J. Brennan
Attorney General

Enclosure

Very truly yours,

W. J. Brennan
Attorney General

Enclosure

Very truly yours,

W. J. Brennan

Attorney General

W. J. Brennan
Attorney General

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BE IT RESOLVED BY THE FACULTY SENATE OF THE GEORGE WASHINGTON UNIVERSITY:

That the Faculty Senate endorses wholeheartedly this Strategic Plan for Research and urges its complete and speedy implementation by the University Administration across all of the Schools and Departments of the University.

Research Committee
October 24, 1994

Postponed, November 11, 1994, to the December 9, 1994, Senate meeting